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PATENT

Express Mail Label No.: EL 842426621 US

Case Docket No.: 01 P 7422 US 01

Date: May 10, 2001

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231
Box PATENT APPLICATION

Transmitted herewith for filing is the patent application of:

Inventor(s): Xian J. Ning

For: Design of Lithography Alignment and Overlay Measurement Marks on CMP Finished Damascene Surface

This application includes:

16 pages: specification and claims
9 sheets of drawings, formal/ x Informal
 photographs

Also enclosed is:

x An Assignment of the invention to Assignee(s):
 x Infineon Technologies North America Corp.
 International Business Machines Corporation
 A certified copy of application
x Declaration and Power of Attorney
X Information Disclosure Statement pursuant to 37 CFR 1.56
 X Form PTO-1449
 Form PTO-1595
x Post Card (2)

The filing fee has been calculated as shown below:

| FOR: | (Col. 1) NO. FILED | (Col. 2) NO. EXTRA RATE | OTHER THAN A SMALL ENTITY FEE |
|--------------------------------------|-----------------------|----------------------------|----------------------------------|
| BASIC FEE | XXXXXXXX | XXXXXXXX | XXXXX \$710 |
| TOTAL CLAIMS | <u>21</u> - 20 = | <u>1</u> | X \$18 = <u>18</u> |
| INDEP CLAIMS | <u>2</u> - 3 = | <u>0</u> | X \$80 = |
| * MULTIPLE DEPENDENT CLAIM PRESENTED | | | + 270 = \$0 |
| ASSIGNMENT(S) | # 1 | | X \$40 = \$ 40 |

TOTAL \$768.00

Infineon Technologies North America Corp
c/o Siemens Corporation
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A fee of 40.00 to cover recordation of the Assignment(s).

X Please charge my Deposit Account No. 19-2179 in the amount of \$768.00. A
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X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-2179. (A duplicate copy of this sheet is enclosed) :

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| X | Any patent application processing fees under 37 CFR 1.17. |
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| X | Any patent application processing fees under 37 CFR 1.17 |
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| X | Any patent application prepared by the inventor |
| X | Any filing fees under 37 CFR 1.16 for presentation of extra claims |

Respectfully submitted,

Stanton C. Braden
Registration No. 32,556
Attorney for Applicant

Date: May 10, 2001

[illegible]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Xian J. Ning

Art Unit: TBD

Serial No.: TBD

Examiner: TBD

Filed: May 14, 2001

Docket: 01 P 7422 US 01

For: Design of Lithography Alignment and Overlay Measurement Marks on CMP Finished Damascene Surface

Certificate of Mailing via Express Mail

EXPRESS MAIL mailing label number: **EL842426621US**

Date of Deposit: May 14, 2001

I hereby certify that the following documents, which are enclosed, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: BOX: Patent, Assistant Commissioner for Patents, Washington, DC 20231:

- Transmittal Letter Original and 1 Duplicate (2 pages)
- Complete Copy of Patent Application (25 pages), to include:
 - Specification (16 pages)
 - Drawings (9 pages)
- Declaration and Power of Attorney (3 pages)
- Assignment Recordation Cover Sheet (1 page)
- Assignment (2 pages)
- Information Disclosure Statement and Form 1449 (w/references)
- Return Postcards (2)

Respectfully submitted,



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